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## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q95541

Seiichiro TAKAHASHI, et al.

Appln. No.: 10/584,069

Group Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: June 22, 2006

For: PROCESS FOR PRODUCING MICROPARTICLES AND APPARATUS THEREFOR

#### **INFORMATION DISCLOSURE STATEMENT** **UNDER 37 C.F.R. §§ 1.97 and 1.98**

#### **MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits an English language Abstract for JP 60-71037, JP 60-255602 (corresponds to JP 1-55201), JP 62-046905 (corresponds to JP 5-77601), JP 05-193909 (corresponds to JP 3229353), JP 05-19391 (corresponds to JP 3225073), JP 05-193912 (corresponds to JP 3253338), JP 05-193913 (corresponds to JP 3253339), JP 05-193939, JP 6-191846, JP 9-221322, JP 11-11946, JP 2000-281337, JP 2001-172018, JP 2001-261336, JP 2002-68744, JP 2002-253953, JP 2002-253954 and JP 2002-263474.

Also, in compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits a partial English translation for JP 62-21751.

Additionally, further to the Information Disclosure Statement filed June 22, 2006, and in compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants submit herewith partial English translation of JP 51-31754 (JP 51-31754 (Japanese language) was previously submitted in the Information Disclosure Statement of June 22, 2006).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/584,069

Q95541

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: September 18, 2006

Substitute for Form 1449 A & B/PTO			Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)			Application Number	10/584,069
			Confirmation Number	Not Yet Assigned
			Filing Date	June 22, 2006
			First Named Inventor	Seiichiro TAKAHASHI
			Art Unit	Not Yet Assigned
			Examiner Name	Not Yet Assigned
			Attorney Docket Number	Q95541
Sheet	1	of		

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	51-31754		03-18-1976	KANSAI PAINT CO., LTD.	Partial
		JP	60-71037		04-22-1985	MITSUBISHI ELECTRIC CORP	Abstract
		JP	1-55201		11-22-1989	TOYOTA MOTOR CORP	Abstract
		JP	62-21751		01-30-1987	SHOWA DENKO KK	Partial
		JP	5-77601		10-27-1993	RES DEV CORP OF JAPAN	Abstract
		JP	3229353		09-07-2001	TOYOTA MOTOR CORP SHIN ETSU CHEM CO LTD	Abstract
		JP	3225073		08-24-2001	SHIN ETSU CHEM CO LTD TOYOTA MOTOR CORP	Abstract
		JP	3253338		11-22-2001	SHIN ETSU CHEM CO LTD TOYOTA MOTOR CORP	Abstract
		JP	3253339		11-22-2001	SHIN ETSU CHEM CO LTD TOYOTA MOTOR CORP	Abstract
		JP	5-193939		08-03-1993	TOSOH CORP	Abstract
		JP	6-191846		07-12-1994	TOSOH CORP	Abstract
		JP	9-221322		08-26-1997	SUMITOMO CHEM CO LTD	Abstract
		JP	11-11946		01-19-1999	WC HERAEUS GMBH	Abstract
		JP	2000-281337		10-10-2000	SUMITOMO CHEM CO LTD	Abstract
		JP	2001-172018		06-26-2001	SUMITOMO CHEM CO LTD	Abstract
		JP	2001-261336		09-26-2001	FUJI TITAN KOGYO KK ASAHI GLASS CO LTD HORIIE SANGYO KK	Abstract
		JP	2002-68744		03-08-2002	MITSUMI MINING & SMELTING CO LTD	Abstract
		JP	2002-253953		09-10-2002	C I KASEI CO LTD	Abstract
		JP	2002-253954		09-10-2002	C I KASEI CO LTD	Abstract
		JP	2002-263474		09-17-2002	C I KASEI CO LTD	Abstract

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.